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67097-053; EH-11071

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James W. Fuller
Serial No.: 10/801,235
Filed: 03/16/2004
Art Unit: 2027
Examiner: Pham, Thomas K.
Title: **MODULE PREDICTIVE CONTROLLER WITH LIFE
EXTENDING CONTROL**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Complying with Applicant's duty of disclosure, Applicant encloses a copy of a search report received from a corresponding foreign patent application.

The enclosed Form PTO 1449 lists the references cited in the search report. Copies of the foreign references are enclosed. European Patent No. EP0524317, United States Publication No. 2002/021900, and the article, "Model Predictive Control and the Optimization of Power Plant Load While Considering Lifetime Consumption," by Eduardo Gallestey, have all been previously cited.

Applicant respectfully requests that the Examiner consider the enclosed and make the cited references of record in this application. Applicant believes that no additional fees are necessary. However, the Commissioner is authorized to charge Deposit Account No. 21-0279 in the name of United Technologies Corporation for any additional fees or credit the account for any overpayment.

Respectfully submitted,

John E. Carlson

Reg. No. 37,794

Carlson, Gaskey & Olds

400 W. Maple Road, Ste. 350

Birmingham, MI 48009

(248) 988-8360

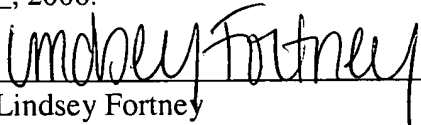
Dated: October 6, 2006



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CERTIFICATE OF MAIL

I hereby certify that the enclosed Information Disclosure Statement and cited foreign references and articles are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 6, 2006.


Lindsey Fortney



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449/PTO

(Use as many sheets as necessary)

Sheet	1
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of	2
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Complete if Known

Application Number	10/801,235
Filing Date	03/16/2004
First Named Inventor	Fuller
Art Unit	2027
Examiner Name	Pham, Thomas K.
Attorney Docket Number	67097-053; EH-11071

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Substitute for form 1449B/PTO

(use as many sheets as necessary)

Sheet	2	of	2
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Filing Date	03/16/2004
First Named Inventor	Fuller
Group Art Unit	2027
Examiner Name	Pham, Thomas K.
Attorney Docket Number	67097-053; EH-11071

[illegible]

Examiner
Signature

Date
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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